

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF : Marshall et al.
FOR : COORDINATION COMPLEX
SERIAL NO. : Unknown
FILED : Herewith
ART UNIT : Unknown
ATTORNEY DOCKET NO. : YOUZ 2 00105

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97, 1.98 and MPEP § 609, Applicant submits the following Disclosure Statement concerning art of which the Applicant is aware. A copy of PTO-1449 is enclosed herewith.

This Information Disclosure Statement is not intended to constitute an admission that any patent, publication or other information referred to herein or submitted herewith is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. §1.97(g) and (h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Under § 1.98(a)(3), no concise explanation of relevance is required for information that is in the English language.

EP 0 747 405 A1 - EPO

Other Art:

Gibson et al., Novel Mono-alkyl Magnesium Complexes Stabilized by a Bulky β -Diketiminatate Ligand: Structural Characterization of a Coordinatively Unsaturated Trigonal System, J. Am. Chem. Soc. 2000, 122, 7120-7121, XP-002234846

CERTIFICATE OF MAILING

I hereby certify that this INFORMATION DISCLOSURE STATEMENT is being deposited with the United States Postal Service as EXPRESS MAIL in an envelope numbered EV 471020295 US, addressed to the Commissioner for Patents, Alexandria, VA 22313-1450, on July 16, 2004.

By: _____

Georgene B. Sonntag

Weeber et al., Homoleptic and Heteroleptic Barium Benzyl Complexes:
Synthesis and Reactivity as Initiators for Anionic Styrene Polymerizations, XP-000920607
XP-002234848
XP-002234867

Zhong et al., A Novel and Versatile Calcium Based Initiator System for the
Ring-Opening Polymerization of Cyclic Esters, Macromolecules 2001, 34 3863-3868, XP-
00223847

Schramm, et al., Synthesis of Cationic Hydrotris(Pyrazolyl)Borate Zirconium
Compounds,, XP-002249590

Under Rule 97(b)(3), this information disclosure statement is being filed
before the mailing date of a first Office Action on the merits and accordingly no fee is
necessary.

OG Notice August 5, 2003


The Office has waived the requirement under 37 CFR §1.98(a)(2)(i) that
copies of cited U.S. patent documents be submitted in connection with all applications filed
on or after June 30, 2003. As such, copies of the U.S. patent documents cited herein have not
been included.

It is respectfully requested that the attached documents be considered and
officially cited in examination of this application.

Respectfully submitted,

FAY, SHARPE, FAGAN,
MINNICH & McKEE, LLP

Date: July 16, 2004



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Subst. Form PTO-1449

Atty. Docket No.: YOU 2 00105

Serial No.: Unknown 10/501684

APPLICANT'S(S) INFORMATION
DISCLOSURE STATEMENT

Applicant(s): Marshall et al.

Filing Date: Herewith

Group: Unknown

U.S. PATENT DOCUMENTS

| Initial* | | Document No. | Date | Name | Class | Subcl. | Filing Date |
|----------|----|--------------|------|------|-------|--------|-------------|
| | AA | | | | | | |
| | AB | | | | | | |
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FOREIGN PATENT DOCUMENTS

| | | Document No. | Date | Country | Class | Subcl. | Translation? |
|--|----|-----------------|------------|---------|-------|--------|--------------|
| | AL | EP 0 747 405 A1 | 11.12.1996 | EPO | | | |
| | AM | | | | | | |
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OTHER ART

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| | AR | Gibson et al., Novel Mono-alkyl Magnesium Complexes Stabilized by a Bulky β -Diketiminato Ligand: Structural Characterization of a Coordinatively Unsaturated Trigonal System, J. Am. Chem. Soc. 2000, 122, 7120-7121, XP-002234846 |
| | AS | Weeber et al., Homoleptic and Heteroleptic Barium Benzyl Complexes: Synthesis and Reactivity as Initiators for Anionic Styrene Polymerizations, XP-000920607 |
| | AT | XP-002234848 |
| | AU | XP-002234867 |
| | AV | Zhong et al., A Novel and Versatile Calcium Based Initiator System for the Ring-Opening Polymerization of Cyclic Esters, Macromolecules 2001, 34 3863-3868, XP-00223847 |
| | AW | Schramm, et al., Synthesis of Cationic Hydrotris(Pyrazolyl)Borate Zirconium Compounds,, XP-002249590 |

Examiner: /Robert Harlan/

Date Considered: 02/24/2011

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if in conformance and not considered. Include copy of this form with next communication to applicant.